

Roth 401(k) Education

Roth 401(k) — Understanding the New Tax Option

PRINTED BOOKLETS

This booklet explains Roth 401(k) to plan sponsors. In question and answer format, the booklet outlines how Roth 401(k) works, discusses its pros and cons, suggests its implications for plan sponsors, and addresses how sponsors should go about implementing the option.

Specifications: 8 pages plus cover, 3 7/8" x 8 1/2"

Custom Imprinted Option

- Can include logos and special typefaces
- Imprint on front and/or back cover
- Ships in 10-15 working days (*transit time not included*)

Custom Designed Booklets

Newkirk can customize your booklets to the degree you choose. Pricing for customization is based on your requirements. Please call us for a quote. Your choices include a:

- Custom cover with Newkirk's standard text
- Standard cover with custom text
- Custom cover and text

INTERNET VERSION

Increase traffic to your website and generate inquiries by posting an online version of the *Roth 401(k) — Understanding the New Tax Option* to your website. Features the same text as our printed booklet. With your subscription to the online booklet, we provide you with a hyperlink that connects your website to ours (we provide everything you need) for a period of one year.



ROT

Printed Booklets – Custom and Unimprinted

Quantity	Custom Imprinted
50 - 99	N/A
100 - 199	\$1.95
200 - 499	1.50
500 - 999	1.25
1,000 - 2,499	.95
2,500 or more	.85

Unimprinted booklets available in lots of 50 for \$1.50 each. Prices do not include shipping, handling, and applicable sales tax.



ROTI

The Internet version costs

\$495 per year with annual license.*

* Please fax signed licensing agreement with your purchase of the Internet version.

Roth 401(k) Participant Flyer

This flyer is an inexpensive, full-color, four-page, comprehensive approach to educating participants about the new Roth 401(k) option.

PRINTED FLYERS

Specifications: 4 pages, full color, 8 1/2" x 11"

Custom Imprinted Option

- Can include logos and special typefaces
- Imprint at the top of page one and at the bottom of page four
- Ships in 10-15 working days (transit time not included)

Custom Designed Flyers

Newkirk can customize your flyers to the degree you choose. Pricing for customization is based on your requirements. Please call us for a quote.



RTF

Contact Newkirk for more information about *Roth Singles*, participant-specific targeted communications announcing the availability of the Roth 401(k) option to their plan.

Roth 401(k) Flyers — Custom and Unimprinted	
Quantity	Custom
50 - 499	N/A
500 - 999	\$.95
1,000 - 2,499	.75
2,500 - 4,999	.65
5,000 - 9,999	.55
10,000 - 19,999	.45
20,000 - 49,999	.35
50,000 - 99,999	.25
100,000 or more	.20

Unimprinted flyers available in lots of 50 for \$.75 each.

INTERNET VERSION

Enhance your website by educating participants with the online version of the *Roth 401(k) Participant Flyer*. Features the same informative text as the printed version. With your subscription to the online flyer, we provide you with a hyperlink that connects your website to ours (we provide everything you need) for a period of one year.



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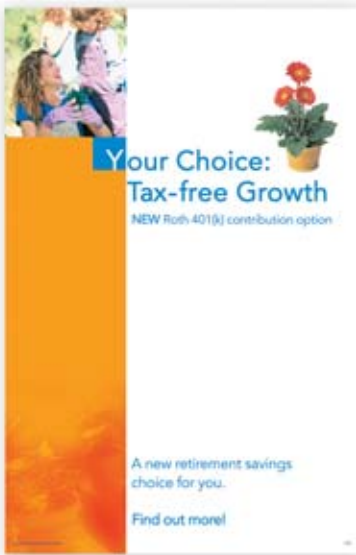
Roth 401(k) Posters

PRINTED POSTERS

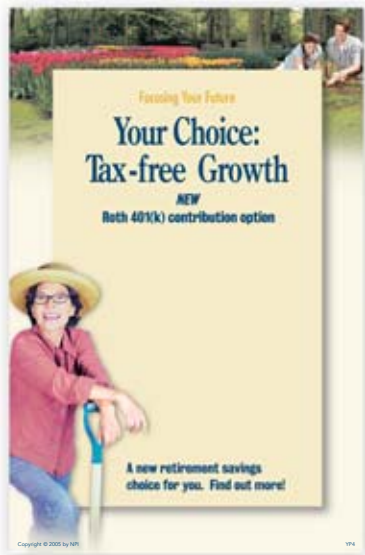
Specifications: Full color, 11" x 17"

Promote upcoming Roth 401(k) informational and enrollment meetings with these attention-getting posters.

Roth 401(k) Posters
 Unimprinted posters are available in lots of 10 for \$1.50 each.



IYP1



YP4

Roth 401(k) Paycheck Inserts

A low-cost opportunity to introduce the Roth 401(k) option to participants with these attention-getting, full-color paycheck inserts.



ROT1



YS10

Roth 401(k) 2-Panel Paycheck Inserts
 Unimprinted inserts are available at \$.20 each. (Sold in lots of 50.)

Roth 401(k) 4-Panel Paycheck Inserts
 Imprinted and unimprinted inserts are available at \$.25 each. (Sold in lots of 50. Minimum quantity of 500 for imprinted.)

Please type or print clearly.

Company Name _____

Shipping Address (No PO boxes) _____

City _____ State _____ Zip _____

Phone _____ Fax _____

E-mail _____

Company Representative _____ Title _____

Special Instructions _____

Printed Booklet Quantity _____

Imprint Options Choose all that apply.

- No imprint
- Imprint on front cover only
- Imprint on back cover only
- Imprint on front and back covers
- Artwork for imprint on file at Newkirk
- Set type for imprint per attached instructions
- Artwork e-mailed to jharris@newkirk.com

Booklet Internet Version*

IYP1 Poster Quantity _____

ROT1 2-Panel Insert Quantity _____

Flyer Quantity _____

Imprint Options Choose all that apply.

- No imprint
- Imprint on front page only
- Imprint on back page only
- Imprint on front and back pages
- Artwork for imprint on file at Newkirk
- Set type for imprint per attached instructions
- Artwork e-mailed to jharris@newkirk.com

Flyer Internet Version*

YP4 Poster Quantity _____

YS10 4-Panel Insert Quantity _____

Imprint Options Choose all that apply.

- No imprint
- Imprint
- Artwork for imprint on file at Newkirk
- Set type for imprint per attached instructions
- Artwork e-mailed to jharris@newkirk.com

*** Roth 401(k) – Understanding the New Tax Option and Roth 401(k) Participant Flyer INTERNET LICENSING AGREEMENT**

Terms:

1. Newkirk hereby grants to Account a non-exclusive license to link to the *Roth 401(k) – Understanding the New Tax Option* booklet and/or the *Roth 401(k) Participant Flyer* text for the period and pursuant to the terms herein.
2. The Account may use the booklet copy and/or flyer for its own purposes only and may not offer it for sale or license it to any other party.
3. Newkirk will provide Account with a hyperlink to *Roth 401(k) – Understanding the New Tax Option* booklet and/or the *Roth 401(k) Participant Flyer* text hosted on Newkirk's Internet server, as soon as practicable after the printing of the booklet and/or flyer.
4. This agreement shall be for one year. The right of Account to use any copy provided hereunder shall end immediately upon termination of this agreement.
5. Account shall provide Newkirk with its Internet web page address so that Newkirk may, from time to time, review the use of the copy it is providing under this agreement. Your website address: _____
6. Newkirk warrants that it owns and/or has the right to license the copy appearing in the *Roth 401(k) – Understanding the New Tax Option* booklet and/or the *Roth 401(k) Participant Flyer*. While care has been taken in preparation of the content, no warranty, expressed or implied, is given with respect to the accuracy of the copy. In any event, Newkirk's liability to Account with respect to any content provided under this agreement is strictly limited to the license fee paid for the specific publication in question.

Accepted by _____

Accepted by _____

For Newkirk Products, Inc. ("Newkirk")

For _____

Dated _____

Dated _____

ROT
5330

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100,000 or more	.20
Unimprinted flyers available in lots of 50 for \$.75 each.	
Internet Version	
\$495 per year with annual license.*	

Roth 401(k) 2-Panel Paycheck Insert
\$0.20 per insert. (Sold in lots of 50.)

Roth 401(k) 4-Panel Paycheck Insert
\$.25 per insert. (Sold in lots of 50. Minimum quantity of 500 for imprinted.)

Roth 401(k) Posters
Unimprinted posters are available in lots of 10 for \$1.50 each.

Prices do not include shipping, handling, and applicable sales tax.

Questions?  Call 518-862-3226 or e-mail jharris@newkirk.com.

Order By Mail if you are sending artwork for your imprint. Mail to Newkirk, 15 Corporate Circle, Albany, NY 12203.

Order By Fax at 518-862-3399 if you are not sending artwork for your imprint.

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